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Attorney's Docket No.: 06618-928001 Client's Ref. No.: CIT-3840/OE034

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MAY 2 7 2005

Applicant : Anatoliy Savchenkov et al. Art Unit: 2872

Serial No.: 10/772,218

: February 3, 2004 Filed

: Tunable Optical Filters Having Electro-Optic Title

Whispering Gallery Mode Resonators

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is an Information Disclosure Statement, faxed this 26th day of May 2005, to the United States Patent and Trademark Office.

Respectfully submitted,

Date: May 26, 2005

Scott C. Harris Reg. No. 32,030

BING AI

REG. NO. 43,312

Fish & Richardson P.C. PTO Customer No. 20985 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Fax: (858) 678-5099

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Attorney's Docket No.: 06618/928001/CIT-3840/OE034

Art Unit:

2872

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 2 7 2005

Applicant : Anatoliy Savchenkov et al.

Serial No.: 10/772,218

: February 3, 2004 Filed

Title

: TUNABLE OPTICAL FILTERS HAVING ELECTRO-OPTIC

WHISPERING GALLERY MODE RESONATORS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Each enclosed document listed on the Form PTO-1449 was cited in the attached PCT Search Report dated May 13, 2005, issued in a foreign counterpart application not more than three months ago. To the best knowledge of the undersigned, this is the first citation of these items in any communication from a foreign patent office in a counterpart foreign application.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Date of Transmission

Signature

S. Gebhart
Name of Person Signing Certificate

Page 2 of 2

Attorney's Docket No.: 06618/928001

The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any applicable charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: May 26, 2005

Scott C. Harris Req. No. 32,030

REG. NO. 43,312

By Bing Ai Reg. No. 43,312

Fish & Richardson P.C. USPTO Customer No. 20985 12390 El Camino Real San Diego, CA 92130

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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-928001	Application No. 10/772,218	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Anatoliy Savchenkov et al.		
		Filing Date February 3, 2004	Group Art Unit 2872	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0044739	4/18/2002	Vahala et al.			
	AB	6580851	6/17/2003	Vahala et al.			
	AC	2003/0231826	12/18/2003	Boyd et al.			
	AD						
	ΑΈ				•		
	AF						
	AG						
	AH	1					
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID D	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO	-			_			
	AP							

	Other Do	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initlal	Desig. ID	Document
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Examiner Signature	Date Considered					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with						
next communication to applicant.						